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DT09 Rec'd PCT/PTO 20 AUG 2004

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785.44159X00  
F102R199US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Wolfgang GRAF et al  
Serial No.: To Be Assigned  
Filed: August 20, 2004 (Concurrently Herewith)  
For: DEVICE FOR GUIDING LIGHT  
Group: To Be Assigned  
Examiner: To Be Assigned

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.97 AND §1.98**

Commissioner for Patents  
P.O. Box 145  
Alexandria, VA 22313-1450

August 20, 2004

Sir:

In the matter of the above-identified application, Applicants are submitting herewith a copy of a communication from a foreign patent office in a counterpart foreign application and copies of the documents listed in the attached form PTO/SB/08A form for the Examiner's consideration.

This Information Disclosure Statement is being submitted within three months of the filing date and within three months of the date of entry of the national phase.

Each of the documents listed on the attached PTO/SB/09A form is in the English language except DE Patent Nos. 43 25 760 A1, DE 197 37 904 A1, DE 38 22 796 A1 and DE 197 05 498 A1.

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To the extent the documents listed on the attached PTO/SB/08A form are not in the English language, the requirement of 37 C.F.R. §1.98(a)(3) for a concise explanation of the relevance is satisfied by an English language version or translation of the foreign patent office report citing the documents.

It is respectfully requested that this Information Disclosure Statement be considered by the Examiner.

Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account No. 01-2135 (785.44159X00) and please credit any excess fees to such Deposit Account.

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP



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Donald E. Stout  
Registration No. 26,422  
(703) 312-6600

Attachments

DES:dlh

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PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>		<i>Complete if known</i>	
		Application Number	To Be Done
		Filing Date	August 20, 2004
		First Named Inventor	Wolfgang GRAF et al
		Art Unit	To Be Assigned
Examiner Name	To Be Assigned		
Attorney Docket Number	785.44159X00		
Sheet	1	of	1

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)				
		US- 5,864,994		02-02-1999	Wolfgang Graft et al	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials <sup>2</sup>	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
		DE 43 25 760 A1	02-02-1995	Dr. Udo Hofmann		
		DE 197 37 904 A1	03-11-1999	Fraunhofer-Gesellschaft zur Forderung der angewandten Forschung e.V.		
		DE 38 22 796 A1	10-05-1989	Klaus Ketterer		
		DE 197 05 498 A1	8-28-1998	Fraunhofer-Gesellschaft zur Forderung der angewandten Forschung e.V.		

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.